



23720

PATENT TRADEMARK OFFICE

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

YUEMEI YANG
MARTIN P. GROSBOLL
KENNETH A. SMITH

Serial No.: Unknown

Filed: Concurrently Herewith

For: METHOD FOR MAKING SINGLE-WALL
CARBON NANOTUBES USING
SUPPORTED CATALYSTS

Group Art Unit: Unknown

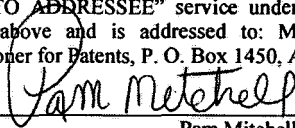
Examiner: Unknown

Attorney Docket: 3006.001300/KDG

INFORMATION DISCLOSURE STATEMENT

MS PATENT APPLICATION
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

| EXPRESS MAIL MAILING LABEL |
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| NUMBER: EV 291396933 US |
| DATE OF DEPOSIT: JULY 30, 2003 |
| I hereby certify that this paper or fee is being deposited with the United States Postal Service with sufficient postage "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: MS PATENT APPLICATION, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450. |
|  Pam Mitchell |

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the

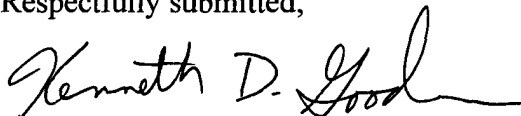
listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed within three months of the filing date of this patent application, and hence is believed to be timely filed in accordance with 37 C.F.R § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement; however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Assistant Commissioner is hereby authorized to deduct said fees from Williams, Morgan & Amerson, P.C., Deposit Account No. 50-0786/3006.001300KDG.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



Kenneth D. Goodman
Reg. No. 30,460

ATTORNEY FOR APPLICANTS

WILLIAMS, MORGAN & AMERSON, P.C.
10333 Richmond, Suite 1100
Houston, Texas 77042
(713) 934-4094
(713) 934-7011 (fax)

July 30, 2003

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|---|---|---|-----------------------|
| Form PTO-1449 (modified) | | Atty. Docket No. 3006.001300/KDG | Serial No. Unknown |
| List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | Applicants Yuemei Yang/Martin P. Grosboll/Kenneth A. Smith | |
| | | Filing Date: Concurrently Herewith | Group: Unknown |
| U.S. Patent Documents <i>See Page 1</i> | Foreign Patent Documents <i>See Page 1</i> | Other Art <i>See Page 1</i> | |

U.S. Patent Documents

| Exam. Init. | Ref. Des. | Document Number | Date | Name | Class | Sub Class | Filing Date of App. |
|-------------|-----------|-----------------|------------|----------------|-------|-----------|---------------------|
| | A1 | 6,333,016 | 12/25/2001 | Resasco et al. | 423 | 447.3 | 09/03/1999 |
| | A2 | | | | | | |
| | A3 | | | | | | |

Foreign Patent Documents

| Exam. Init. | Ref. Des. | Document Number | Date | Country | Class | Sub Class | Translation Yes/No |
|-------------|-----------|-----------------|------|---------|-------|-----------|--------------------|
| | B1 | | | | | | |
| | B2 | | | | | | |
| | B3 | | | | | | |

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

| Exam. Init. | Ref. Des. | Citation |
|-------------|-----------|--|
| | C1 | Tang et al., <i>Chemical Physics Letters</i> 350:19-26 (2001) |
| | C2 | Flahaut et al., <i>J. Mater. Chem.</i> 10:249-252 (2000) |
| | C3 | Qingwen et al., <i>J. Mater. Chem.</i> 12:1179-1183 (2002) |
| | C4 | Su et al., <i>Chemical Physics Letters</i> 322:321-326 (2000) |
| | C5 | Willems et al., <i>Applied Catalysis A: General</i> 229:229-233 (2002) |
| | C6 | Bacsa et al., <i>Chemical Physics Letters</i> 323:566-571 (2000) |
| | C7 | Colomer et al., <i>Chemical Physics Letters</i> 317:83-89 (2000) |
| | C8 | Bacsa et al., <i>J. Am. Ceram. Soc.</i> 85:2666-69 (2002) |

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.